

Streetscene and Transportation Portfolio Risk Register

Version 5

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Risk Register - Part 1 (Portfolio Management)

Finance

Key		
Underlying Risk Rating	The risk rating before any mitigating actions	
Current Risk Rating	This risk rating following the planned mitigation actions	
Target Risk Rating	The risk rating which is realistically achievable and by when	
Risk Trend	The trend of the risk since the last review date	
Risk Status	Open denotes a live risk	Closed denotes a closed risk
*Denotes the risk is specific to the 'Recovery'		
ST	Streetscene and Transportation	

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
*ST01	Public bus services (commercial and subsidised) are financially unsustainable due to reduced passenger numbers	Operational	Anthony Stanford	Ceri Hansom	R	R	G Q4 2020/21	A ↔	Open
*ST02	Increased transport operating costs due to reducing number of available transport operators to provide services	Operational	Anthony Stanford	Ceri Hansom	R	R	G Open	A ↔	Open
*ST03	Increasing cost of undertaking Public Health Funerals due to inability of families to fund funerals	Operational	Anthony Stanford	Richard Blake	A	Y	G Q4 2020/21	A ↔	Open
*ST04	Increased operational costs (resource, workforce) for Bereavement Services due to increased number of deaths	Operational	Anthony Stanford	Richard Blake	A	Y	G Open	A ↔	Open
*ST05	National targets not being met for Road Safety and Active Travel due to an inability to complete essential schemes	Strategic	Anthony Stanford	Richard Flood	R	Y	G Q4 2020/21	A ↔	Open
ST06	Unable to ensure highways infrastructure remains safe and capable of supporting economic growth due to reduced funding	Strategic	B Wilkinson	Area Managers	Y	Y	Y Open	A ↔	Open
ST07	Unable to respond to Ash Die Back due to insufficient resource or funding	Strategic	B Wilkinson	Area Managers	Y	A	Y Open	A ↔	Open
*ST08	Increase in third party claims due to changes in Highway Inspection process	Strategic	B Wilkinson	Area Managers	Y	G	G Q4 2020/21	A ↔	Open
*ST09 Updated Oct 2020	Loss of income from the sale of recyclable materials due to reduced market demand Note: the risk trend is amended as it is static	Operational	R Cartwright	G Povey	A	R	Y Open	A ↔	Open
*ST10	Increased expenditure on contract, labour, plant, vehicles and materials due to changing work patterns in response to pandemic	Strategic	Katie Wilby	Gareth Thomas, Kevin Edwards, Jamie Bennet	A	A	A Q4 2020/21	A ↔	Open
*ST10a Updated Oct 2020	Increased expenditure on the disposal of residual waste due to increased tonnage collected from residential properties Note: the risk trend is amended as it is static	Strategic	Ruth Cartwright	G Povey	A	R	Y Q4 2020/21	A ↔	Open
*ST11	Significant loss of income from the suspension of services due to COVID-19 response	Strategic	Ruth Cartwright	Vicky Plant	R	R	G Q4 2020/21	A ↔	Open

Workforce

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
*ST12	Reduced numbers of frontline workers and contractors to deliver services safely due to increased sickness levels	Operational	Katie Wilby	Gareth Thomas / Kevin Edwards / Jamie Bennett	A	Y	G Q3 2020/21	A ↔	Open
*ST13	Limited Personal Protective Equipment for workforce to conduct operations safely due to lack of availability in supply chain	Operational	Gareth Thomas	Steve O'Shaughnessy	A	G	G Q2 2020/21	A ↔	Open
ST14	An increase in staff absence due to physical and verbal abuse while undertaking duties	Operational	Operational Managers	Supervisors	A	Y	G Open	A ↔	Open
ST15 Updated Oct 2020	Workforce industrial action will impact on our ability to deliver key operational services Note: current risk rating increased to yellow and risk trend increased due to changing shift working arrangements in workforce	Strategic	Katie Wilby	Gareth Thomas, Kevin Edwards, Jamie Bennet	G	Y	G Open	R ↑	Open
ST16 Updated Oct 2020	Inability to provide staff with key training due to social distancing measures Note: : current risk rating increased to amber and risk trend increased due to training being suspended	Operational	Ruth Cartwright	Gemma Boniface	Y	A	G Q3 2020/21	R ↑	Open
*ST17	Increased referrals to occupational health for mental health issues due to the impacts of remote working or continuing to work in frontline services	Strategic	Katie Wilby	Gareth Thomas, Kevin Edwards, Jamie Bennet	A	A	G Q3 2020/21	A ↔	Open
*ST17a Updated Oct 2020	Increased risk of ill health due to mental health and well-being, personal and/or family issues Note: the risk trend is amended as it is static	Strategic	Stephen O Jones	Ruth cartwright, Katie Wilby, Barry Wilkinson, Anthony Stanford	R	A	G Q4 2020/21	A ↔	Open
*ST18	Backlog of administrative work due to home working (permit processing, payment refunds, tag printing)	Operational	Ruth Cartwright	Vicky Plant	A	G	G Q2 2020/21	A ↔	Open
*ST19	Unable to build resilience and provide generic work functions due to remote working	Operational	Ruth Cartwright	Vicky Plant	Y	G	G Q2 2020/21	A ↔	Open
*ST20	Missing key changes in employees' health and wellbeing due to Face to Face Occupational Health Surveillance appointments being placed on hold	Operational	Ruth Cartwright	Gemma Boniface	Y	Y	G Q3 2020/21	A ↔	Open

Property & Assets

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
ST21	Loss of depot facilities will impact our ability to deliver operational services	Operational	Katie Wilby	Gareth Thomas, Kevin Edwards, Jamie Bennet	G	G	G Q3 2020/21	A ↔	Open

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
*ST22	Lack of available burial capacity due to increased demand	Operational	Anthony Stanford	Richard Blake	R	Y	G Q4 2020/21	A ↔	Open
ST23	Impact of adverse weather conditions on the highway network resulting in damage to infrastructure	Strategic	B Wilkinson	Area Managers	A	A	A Open	A ↔	Open
*ST24	Unable to progress with key infrastructure improvement projects due to prolonged pandemic impacts due to reduced grant funding	Strategic	Anthony Stanford	Richard Flood	G	G	G Q4 2020/21	A ↔	Open
*ST25 Updated Oct 2020	Inadequate vehicle capacity to transport pupils and passengers whilst adhering to social distancing Note: the risk trend is amended as it is static	Operational	Anthony Stanford	Ceri Hansom	R	Y	Y Q2 2020/21	A ↔	Open
*ST26 Updated Oct 2020	Lack of available space at the Alltami depot facility to be able to provide full service due to social distancing measure Note: the risk trend is amended as it is static	Operational	Katie Wilby	Gareth Thomas	R	G	Y Q4 2020/21	A ↔	Open

Governance/Legal

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
*ST27	Breach of legislation / Non-compliance with legislation (Environmental Permits, Health & Safety, Vehicle Operators' license, TROs) due to the inability to provide work services	Strategic	Katie Wilby	Gareth Thomas	A	Y	G Q3 2020/21	A ↔	Open

External Regulation

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
ST28	Unable to accept and process waste and recycling due to the loss of Environmental Permit	Strategic	R Cartwright	G Povey	A	G	G Q4 2020/21	A ↔	Open
*ST30 Updated Oct 2020	Expiry of statutory training certifications due to the inability to undertake testing / training Note: current risk rating increased to amber and risk trend increased due to training being suspended	Strategic	Ruth Cartwright	Gemma Boniface	G	A	G Q3 2020/21	R ↑	Open
*ST32 Updated Oct 2020	Backlog in vehicle MOTs due to the closure of test centres Note: the risk trend is amended as it is static	Operational	B Wilkinson	Fleet Manager	Y	Y	Y Q4 2020/21	A ↔	Open

ICT & Systems

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
ST33	Unable to deliver key operational services due to the failure of telecommunications, ITC, mobile phone networks	Strategic	Katie Wilby	Gareth Thomas, Kevin Edwards, Jamie Bennet	G	G	G Q4 2020/21	A ↔	Open

Risk Register - Part 2 (Portfolio Service & Performance Risks)

Service Delivery

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
*ST34	Lack of available materials (tarmac, stone) to undertake planned works due to product availability	Strategic	Katie Wilby	Gareth Thomas, Kevin Edwards, Jamie Bennet	A	G	G Q3 2020/21	A ↔	Open
*ST35	Lack of preparedness to respond to multiple emergencies or major incidents during the pandemic (severe weather event, fire, major transport accident) due to resource being focused on delivering key services	Strategic	Katie Wilby	Gareth Thomas, Kevin Edwards, Jamie Bennet	A	A	G Q4 2020/21	A ↔	Open
*ST36	Loss of key suppliers or partner organisations to deliver key operational services due to company insolvencies	Strategic	Katie Wilby	Gareth Thomas, Kevin Edwards, Jamie Bennet	A	A	G Open	A ↔	Open

Highway Policy

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
*ST38	Unable to undertake pedestrian/cycle safety training due to schools not operating and reduced funding	Operational	Anthony Stanford	Richard Flood	A	Y	G Q4 2020/21	A ↔	Open
*ST39 Updated Oct 2020	Unable to undertake traffic surveys to support financial bids for transport schemes or justify implementing new safety measures Note: the risk trend is amended as it is static	Strategic	Anthony Stanford	Richard Flood	R	Y	G Q4 2020/21	A ↔	Open

Fleet

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
ST40	Interruption of available fuel to sufficiently operate fleet vehicles	Strategic	B Wilkinson	Fleet Manager	G	G	G Q4 2020/21	A ↔	Open

Waste Strategy

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
*ST41	Unable to dispose of waste and recycling products due to end producer availability	Operational	R Cartwright	G Povey	R	A	G Q4 2020/21	A ↔	Open

Parking and Enforcement

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
*ST42	An increase in dangerous and obstructive parking due to the suspension of parking enforcement	Operational	Ruth Cartwright	Vicky Plant	A	G	G Q2 2020/21	A ↔	Open
*ST43	An increase in environmental crime (littering, dog fouling, side waste) due to the suspension of monitoring patrols	Operational	Ruth Cartwright	Vicky Plant	A	G	G Q2 2020/21	A ↔	Open

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
*ST44	Increase in large scale fly tipping due to the closure of Household Recycling Centres and illegal waste collection companies operating	Operational	Ruth Cartwright	Vicky plant	A	G	G Q2 2020/21	A ↔	Open

Closed Risk

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
*ST29	Loss of Industry Standard accreditations due to the inability to undertake external audits	Operational	R Cartwright	G Povey / P Murphy	G	G	G Q3 2020/21	A ↔	Closed
*ST31	Expiry of calibrated equipment due to the inability to undertake testing	Operational	Ruth Cartwright	Gemma Boniface	G	G	G Q3 2020/21	A ↔	Closed

Risk Matrix

Impact Severity	Catastrophic	Y	A	R	R	B	B
	Critical	Y	A	A	R	R	R
	Significant	G	G	Y	A	A	R
	Marginal	G	G	G	Y	Y	A
		Unlikely	Very Low	Low	High	Very High	Extremely High
Likelihood of risk happening							